



COPY OF PAPERS  
ORIGINALLY FILED

11/21/02 #6  
SI  
04-1609  
Attorney Docket No.: 10251-037

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2163

Re: U.S. Patent	:	Robert FEILBOGEN, et al.
Application of	:	METHOD AND SYSTEM FOR
Entitled	:	INITIATING AND CLEARING
	:	TRADES
Serial No.	:	09/848,931
Filing Date	:	May 4, 2001

**SUPPLEMENTAL  
INFORMATION  
DISCLOSURE  
STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

I hereby certify that this paper is being deposited this date  
with the U.S. Postal Service as first class mail addressed to  
the Assistant Commissioner for Patents, Washington, D.C.  
20231.

March 22, 2002

**RECEIVED**

Sir:

**APR 04 2002**

**Technology Center 2100**

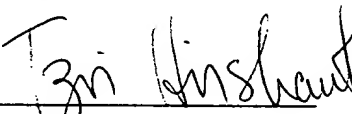
Applicants submit herewith a PTO-1449 form and copies of the references listed thereon. Applicants note that the cited press releases from AVT Technologies are dated well after the filing of Applicants' provisional applications, filed in May 2000 and from which applicants claim priority. The cited Informational materials are undated but were printed from AVT Technologies' web site on March 11, 2002. Applicants have no reason to believe, nor is there any suggestion in the AVT Technologies documents, that the information contained therein was publicly available before the filing of applicants' provisional applications. We are nevertheless submitting these documents, which came to the applicants' attention around late October/early November 2001, to make the record complete.

This Supplemental Information Disclosure Statement is being filed before the mailing of the first Office Action. Therefore, no fee is believed to be due at this time.

It is requested that the references cited in the accompanying PTO-1449 form be considered herein.

Respectfully submitted,

PROSKAUER ROSE LLP  
Attorneys for the Applicant(s)

By   
Tzvi Hirshaut  
Reg. No. 38,732

Date: March 22, 2002

PROSKAUER ROSE LLP  
1585 Broadway  
New York, NY 10036  
Tel: (212) 969-3000

Enclosures: PTO-1449 Form w/ references



COPY OF PAPERS  
ORIGINALLY FILED

Form PTO-1449 (Rev. 6-98) U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No. <b>10251-037</b>	Serial No. <b>09/848,931</b>		
<b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)		Applicant <b>Robert FEILBOGEN, et al.</b>			
		Filing Date <b>05/04/01</b>	Group Art Unit <b>2163</b>		
<b>U.S. PATENT DOCUMENTS</b>					
Examiner Initial	Document Number	Date	Name	Class	Sub Class
<b>OTHER DOCUMENTS</b> (Including Author, Title, Date, Pertinent Pages, Etc.)					
	"The Bank of New York, World's Largest Custodian Bank, Joins FXall™. AVT Technologies, a Key Partner, Will Provide The Core Trade-Routing Mechanism." AVT Technologies press release, October 13, 2000, 3 pages.				
	"Centradia Name Chosen For First Multi-Bank, Multi-Product On-Line Financial Marketplace." AVT Technologies press release, June 18, 2001, 1 pages.				
	"Currenex Partners With AVT Technologies To Streamline FX Dealing." AVT Technologies press release, October 26, 2001, 2 pages				
	"Atriaux and AVT Technologies Have Integrated AVT's EchoFX Trading Engine and the Atriaux Dealing System." AVT Technologies press release, October 31 2001, 1 pages.				
	Informational Materials re AVT Technologies from www.avt.co.uk – 17 pages.				
Examiner		Date Considered			
Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP§60 : Draw line through citation if not in conformance and not considered, include copy of this form with next communication to the patent owner.					

RECEIVED

APR 04 2002

Technology Center 2100